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### NOTICE OF ALLOWANCE AND FEE(S) DUE

7590

Robert E. Bushnell Suite 300 1522 K Street, N.W. Washington, DC 20005 03/24/2004

**EXAMINER** SANTIAGO, MARICELI **PAPER NUMBER ART UNIT** 

2879

**DATE MAILED: 03/24/2004** 

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/077,762	02/20/2002	Dong-Kyun Seo	P56645	3466

TITLE OF INVENTION: METAL CATHODE AND INDIRECTLY HEATED CATHODE ASSEMBLY HAVING THE SAME

APPLN. TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
nonnrovisional	NO	\$1330	\$300	\$1630	06/24/2004

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE STATUTORY PERIOD CANNOT BE EXTENDED. REFLECTS A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE APPLIED IN THIS APPLICATION. THE PTOL-85B (OR AN EQUIVALENT) MUST BE RETURNED WITHIN THIS PERIOD EVEN IF NO FEE IS DUE OR THE APPLICATION WILL BE REGARDED AS ABANDONED.

#### HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

A. If the status is the same, pay the TOTAL FEE(S) DUE shown above.

B. If the status is changed, pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above and notify the United States Patent and Trademark Office of the change in status, or

If the SMALL ENTITY is shown as NO:

- A. Pay TOTAL FEE(S) DUE shown above, or
- B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check the box below and enclose the PUBLICATION FEE and 1/2 the ISSUE FEE shown above.
- ☐ Applicant claims SMALL ENTITY status. See 37 CFR 1.27.
- II. PART B FEE(S) TRANSMITTAL should be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). Even if the fee(s) have already been paid, Part B - Fee(s) Transmittal should be completed and returned. If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted.
- III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

#### PART B - FEE(S) TRANSMITTAL

#### Complete and send this form, together with applicable fee(s), to: Mail

Mail Stop ISSUE FEE Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

or <u>Fax</u>

 $\times$  (703) 746-4000

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 4 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications.

maintenance fee notifications. Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission. CURRENT CORRESPONDENCE ADDRESS (Note: Legibly mark-up with any corrections or use Block 1) 7590 03/24/2004 Robert E. Bushnell Certificate of Mailing or Transmission I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO, on the date indicated below. Suite 300 1522 K Street, N.W. Washington, DC 20005 (Depositor's name) (Signature) (Date) ATTORNEY DOCKET NO. FIRST NAMED INVENTOR CONFIRMATION NO. APPLICATION NO. **FILING DATE** 3466 P56645 10/077,762 02/20/2002 Dong-Kyun Seo TITLE OF INVENTION: METAL CATHODE AND INDIRECTLY HEATED CATHODE ASSEMBLY HAVING THE SAME DATE DUE **ISSUE FEE PUBLICATION FEE** TOTAL FEE(S) DUE APPLN. TYPE **SMALL ENTITY** \$1330 \$300 \$1630 06/24/2004 NO nonprovisional **CLASS-SUBCLASS ART UNIT EXAMINER** 2879 313-337000 SANTIAGO, MARICELI 1. Change of correspondence address or indication of "Fee Address" (37 2. For printing on the patent front page, list (1) the CFR 1.363). names of up to 3 registered patent attorneys or agents OR, alternatively, (2) the name of a single ☐ Change of correspondence address (or Change of Correspondence firm (having as a member a registered attorney or Address form PTO/SB/122) attached. agent) and the names of up to 2 registered patent ☐ "Fee Address" indication (or "Fee Address" Indication form attorneys or agents. If no name is listed, no name PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer will be printed. Number is required. 3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type) PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. Inclusion of assignee data is only appropriate when an assignment has been previously submitted to the USPTO or is being submitted under separate cover. Completion of this form is NOT a substitute for filing an assignment. (B) RESIDENCE: (CITY and STATE OR COUNTRY) (A) NAME OF ASSIGNEE corporation or other private group entity government Please check the appropriate assignee category or categories (will not be printed on the patent); individual 4a. The following fee(s) are enclosed: 4b. Payment of Fee(s): ☐ A check in the amount of the fee(s) is enclosed. ☐ Issue Fee ☐ Payment by credit card. Form PTO-2038 is attached. ☐ Publication Fee ☐ The Director is hereby authorized by charge the required fee(s), or credit any overpayment, to ☐ Advance Order - # of Copies \_\_\_\_\_ Deposit Account Number (enclose an extra copy of this form). Director for Patents is requested to apply the Issue Fee and Publication Fee (if any) or to re-apply any previously paid issue fee to the application identified above. (Authorized Signature) (Date) NOTE; The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office. This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Alexandria, Virginia 22313-1450.

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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/077,762	02/20/2002	Dong-Kyun Seo	P56645	3466
759	90 03/24/2004		EXAMI	NER
Robert E. Bushne	11		SANTIAGO,	MARICELI
Suite 300 1522 K Street, N.W	·		ART UNIT	PAPER NUMBER
Washington, DC 20			2879	
			DATE MAILED: 03/24/2004	<b>,</b>

# Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 126 day(s). If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the Patent Term Adjustment will be 126 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) system (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (703) 305-1383. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at (703) 305-8283.



	Application No.	Applicant(s)			
	10/077,762	SEO ET AL.			
Notice of Allowability	Examiner	Art Unit			
	Mariceli Santiago	2879			
The MAILING DATE of this communication appears on the cover sheet with the correspondence address All claims being allowable, PROSECUTION ON THE MERITS IS (OR REMAINS) CLOSED in this application. If not included herewith (or previously mailed), a Notice of Allowance (PTOL-85) or other appropriate communication will be mailed in due course. THIS NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RIGHTS. This application is subject to withdrawal from issue at the initiative of the Office or upon petition by the applicant. See 37 CFR 1.313 and MPEP 1308.					
1. This communication is responsive to Communication filed	October 6, 2003 .				
2. The allowed claim(s) is/are <u>1-19</u> .					
3. The drawings filed on 28 March 2002 are accepted by the	Examiner.				
<ul> <li>4.  Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).</li> <li>a)  All b)  Some* c)  None of the:</li> <li>1.  Certified copies of the priority documents have been received.</li> <li>2.  Certified copies of the priority documents have been received in Application No</li> </ul>					
3. Copies of the certified copies of the priority documents have					
International Bureau (PCT Rule 17.2(a)).	caments have been received in this	national stage application from the			
* Certified copies not received:					
Applicant has THREE MONTHS FROM THE "MAILING DATE" of this communication to file a reply complying with the requirements noted below. Failure to timely comply will result in ABANDONMENT of this application.  THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.					
5. A SUBSTITUTE OATH OR DECLARATION must be submitted. Note the attached EXAMINER'S AMENDMENT or NOTICE OF INFORMAL PATENT APPLICATION (PTO-152) which gives reason(s) why the oath or declaration is deficient.					
6. CORRECTED DRAWINGS ( as "replacement sheets") must be submitted.					
(a) ☐ including changes required by the Notice of Draftspers	son's Patent Drawing Review (PTO-	948) attached			
1)  hereto or 2)  to Paper No./Mail Date	•				
(b)  including changes required by the attached Examiner's Paper No./Mail Date	s Amendment / Comment or in the C	Office action of			
Identifying indicia such as the application number (see 37 CFR 1.84(c)) should be written on the drawings in the front (not the back) of each sheet. Replacement sheet(s) should be labeled as such in the header according to 37 CFR 1.121(d).					
7. DEPOSIT OF and/or INFORMATION about the deposit of BIOLOGICAL MATERIAL must be submitted. Note the attached Examiner's comment regarding REQUIREMENT FOR THE DEPOSIT OF BIOLOGICAL MATERIAL.					
Attachment(s) 1. ⊠ Notice of References Cited (PTO-892)	5.  Notice of Informal P	atent Application (PTO-152)			
2. Notice of Draftperson's Patent Drawing Review (PTO-948)	6. ☐ Interview Summary	, , , , , , , , , , , , , , , , , , , ,			
	Paper No./Mail Dat	te			
3. ☑ Information Disclosure Statements (PTO-1449 or PTO/SB/0 Paper No./Mail Date	<u> </u>				
4.   Examiner's Comment Regarding Requirement for Deposit		ent of Reasons for Allowance			
of Biological Material	9.				

Application/Control Number: 10/077,762

Art Unit: 2879

### **DETAILED ACTION**

### Response to Arguments

Applicant's arguments, see Remarks, Pages 3-7, filed October 6, 2003, with respect to claims 1-19 have been fully considered and are persuasive. The rejection of claims 1-19 has been withdrawn.

## Allowable Subject Matter

Claims 1-19 are allowed over the prior art or record.

The following is an examiner's statement of reasons for allowance:

Regarding claims 1 and 7, the references of the Prior Art of record fails to teach or suggest the combination of the limitations as set forth in claims 1 and 7, and specifically comprising the limitation of the alloy comprising barium (Ba) being in the range of 0.1 to 20% by weight based on the total weight of the first alloy, a metallic mobilizer being in the range of 0.1 to 20% by weight based on the total weight of the first alloy, the metallic mobilizer facilitating Ba diffusion, the metallic mobilizer being at least one selected from the group consisting essentially of molybdenum (Mo), hafnium (Ht), zirconium (Zr), and thorium (n), a metal with a difference in atomic radius of at least 0.4 Angstrom from the atomic radius of any one of platinum (Pt) and palladium (Pd), the metal being in the range of 0.01 to 30% by weight based on the total weight of the first alloy, and at least one element selected from the group consisting essentially of platinum (Pt) and palladium (Pd).

Regarding claims 2-6, claims 2-6 are allowable for the reasons given in claim 1 because of their dependency status from claim 1.

Regarding claims 8-15, claims 8-15 are allowable for the reasons given in claim 7 because of their dependency status from claim 7.

Regarding claim 16, the references of the Prior Art of record fails to teach or suggest the combination of the limitations as set forth in claim 16, and specifically comprising the limitation of the alloy consisting essentially of barium (Ba) being in the range of 0.1 to 20% by weight based on the total weight of the first alloy, a metallic mobilizer being in the range of 0.1 to 20% by weight based on the total weight of the first alloy, the metallic mobilizer facilitating Ba diffusion, the metallic mobilizer being at least one selected from the group consisting essentially of molybdenum (Mo), hafnium (Ht), zirconium (Zr), and thorium (n), a metal with a difference in atomic radius of at least 0.4 Angstrom from the atomic radius of any one of platinum (Pt) and palladium (Pd), the metal being in the range of 0.01 to 30% by weight based on the total weight of the first alloy, and a balance of at least one of platinum (Pt) and palladium (Pd).

Regarding claims 17-19, claims 17-19 are allowable for the reasons given in claim 16 because of their dependency status from claim 16.

Any comments considered necessary by applicant must be submitted no later than the payment of the issue fee and, to avoid processing delays, should preferably accompany the issue fee. Such submissions should be clearly labeled "Comments on Statement of Reasons for Allowance."

### Other Prior Art Cited

The prior art made of record and not relied upon is considered pertinent to applicant's disclosure.

Art Unit: 2879

### **Contact Information**

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Mariceli Santiago whose telephone number is (571) 272-2464. The examiner can normally be reached on Monday-Friday from 9:30 AM to 6:00 PM.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Nimesh Patel, can be reached on (571) 272-2457. The fax phone number for the organization where this application or proceeding is assigned is (703) 872-9306.

Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the receptionist whose telephone number is (703) 308-0956.

Mariceli Santiago Patent Examiner Art Unit 2879

> ASHOK PATEL PRIMARY EXAMINER